

**CUSTOMER INFORMATION/CNY BASIC SETTLEMENT ACCOUNT (SOLE ACCOUNT) OPENING FORM**  
**个人客户资料 / 人民币基本结算账户(独立账户)开户申请表**

 HSBC Bank (China) Company Limited \_\_\_\_\_ Branch  
 汇丰银行 (中国) 有限公司 \_\_\_\_\_ 分行

Date 日期: \_\_\_\_\_

**NOTE: 1. Please tick(☑) where applicable and complete**
**注意: this form in BLOCK LETTERS.**

请在适当的方格内划上钩号 (☑), 并用正楷填写。

**2. Please ensure to complete all fields marked with an asterisk\*, so that we can provide you with suitable products and services.**

请务必填写所有标注 \* 的栏目, 以便我们为您提供适合的产品及服务。

Customer Number 客户号码: \_\_\_\_\_

**Your Personal Details 您的个人资料**

*Title 称谓:	<input type="checkbox"/> Mr 先生	<input type="checkbox"/> Ms 女士	<input type="checkbox"/> Others, please specify 其他, 请说明: _____																								
*First Name 名 (英文或拼音):	_____		Name in Chinese 中文姓名: _____																								
*Last Name 姓 (英文或拼音):	_____																										
*Gender 性别:	<input type="checkbox"/> Male 男	<input type="checkbox"/> Female 女																									
*ID Type 身份证明文件:	<input type="checkbox"/> Passport 护照	<input type="checkbox"/> Identity Card 居民身份证	<input type="checkbox"/> Retired Officer ID 退休军官 / 干部证																								
	<input type="checkbox"/> Policeman ID Card 武装警察身份证	<input type="checkbox"/> Military ID Card 军人身份证																									
	<input type="checkbox"/> Military ID Card 军人身份证																										
	Others 其他	<input type="checkbox"/> Re-Entry Permit 港澳居民往来内地通行证																									
		<input type="checkbox"/> Travel Permit to Mainland China for Taiwan Resident 台湾居民来往大陆通行证																									
		<input type="checkbox"/> Or please specify 或者请说明																									
*ID Number 身份证明文件号码:	<table border="1"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </table>																										
*Date Identification Expire(DD/MM/YYYY) 身份证件有效期至 (日/月/年):	_____																										
Location of ID Issuing Authority 身份证件发证机关所在地:	_____																										
Ethnic 民族:	_____																										
*Date of birth(DD/MM/YYYY) 出生日期 (日/月/年):	_____																										
*Multiple Nationalities 多重国籍: _____ (Y/N) Nationality 1 国籍 1: _____; Nationality 2 国籍 2: _____; Nationality 3 国籍 3: _____																											
*Country / Region of birth 出生地 (国家/地区):	_____																										
*Country/Region of Residence 居住国家或地区:	_____																										
*Occupation 职业:	<input type="checkbox"/> Professional/Senior Administrative 专业人士 / 高级行政人员	<input type="checkbox"/> Administrative/Executive 管理人员 / 行政人员																									
	<input type="checkbox"/> Agricultural 农民	<input type="checkbox"/> Housewife 家庭主妇	<input type="checkbox"/> Armed Forces 军人																								
	<input type="checkbox"/> Police 公安人员	<input type="checkbox"/> Student 学生	<input type="checkbox"/> Teacher 教师																								
	<input type="checkbox"/> Clerical 一般职员	<input type="checkbox"/> Retired 离退休人员	<input type="checkbox"/> Self-employed 自雇																								
	<input type="checkbox"/> Unemployed 未受聘者	<input type="checkbox"/> Others(please specify) 其他, 请说明 _____																									
*Language of Statement 结单语言:	<input type="checkbox"/> English 英文	<input type="checkbox"/> Chinese 中文																									
*Type of Statement 结单种类:	<input type="checkbox"/> Regular Monthly Statement 独立账户月结单																										
	(即每一账户之独立结单)																										

请注意, 以上“发证机关所在地”的填写方法是: 根据相应监管要求, 存款人为港、澳、台地区居民或外国居民的, 其发证机关所在地统一填写北京市; 存款人为武警的, 其发证机关所在地统一填写重庆市; 存款人为军人的, 其发证机关所在地统一填写天津市; 其他存款人的发证机关所在地按证件上标明的发证机关所在地填写。

Note: Please fill in the "Location of ID Issuing Authority" in accordance with the following rules as required by the relevant regulation: for Hong Kong, Macau and Taiwan resident and foreigner, the location of ID issuing Authority is Beijing; for Armed Policeman, the location of ID issuing Authority is Chongqing; for Military, the location of ID issuing Authority is Tianjin; and for other applicants, the location of ID issuing Authority is what is stated as the location of ID issuing Authority on the ID certificate.

**Your Address/Contact Details 您的地址信息 / 联络方式**

*Correspondence Address 邮寄地址:	_____		
	_____		
	_____		
*Postal Code 邮政编码:	_____		
*Designation 属性:	<input type="checkbox"/> Correspondence Address 通讯地址		
	<input type="checkbox"/> Work 公司地址	<input type="checkbox"/> In care of 转交	
*Residential Address (if different from Correspondence Address):	住宅地址 (如与邮寄地址不同)		
	_____		
At this Address Since (DD/MM/YYYY) 该地址自 _____ (日/月/年)			
*Permanent Address (if different from Residential Address):	永久地址 (如与住宅地址不同) _____		

For Residential Address 以下适用住宅地址 \_\_\_\_\_

Ownership Status 所有权状况:  Owned outright(fully paid) 自置物业(已全部付清)  Renting 租用住房  
 Mortgaged Property 按揭物业

Language Preference 您希望的语种

Written 书面联络方式:  English 英文  Chinese 中文  
Spoken 口头联络方式:  English 英文  Chinese 中文  
Home 家庭 Business 办公室

Phone 电话: \_\_\_\_\_

Fax 传真: \_\_\_\_\_

Cell/Mobile 手提电话: \_\_\_\_\_

Email 电子邮件: \_\_\_\_\_

#### Your Choice of Account(s) 您选择的账户类别

CNY Basic Settlement Account 人民币基本结算账户<sup>#</sup>

<sup>#</sup> This CNY basic settlement account is offered to individuals who hold non Premier/Advance/Personal Banking account with us. No monthly service fee will be charged in respect of this account. This account only offers basic banking functions, including CNY cash deposit and withdrawal, CNY call deposit, CNY term deposit and CNY domestic account transfer over the counter. "Other products and services", such as debit card, ATM, personal Internet banking, phone banking, assistance in overseas HSBC account opening, subscription for investment product issued by the Bank or insurance product or mutual fund product distributed by the Bank on agency basis and home mortgage loan are currently not available to holders of such account. If you are interested in above "other products and services", please select to open Premier account or Advance account or Personal Banking account (as the case may be) with us. Please understand and acknowledge that, Premier account, Advance account, Personal Banking account and this CNY basic settlement account can't be opened or held concurrently. Monthly account statement will be provided in respect of this CNY Basic Settlement Account.

我行为非卓越理财、运筹理财或个人综合银行客户提供人民币基本结算账户，该账户不收取服务月费，提供基本账户功能，包括在柜台进行人民币活期存款、取款、人民币通知存款、人民币定期存款和人民币国内转账。除此之外，该账户目前不提供我行的“其他产品和服务”，例如借记卡、ATM、网上银行、电话理财、海外汇丰开户预约、认购银行发行的理财产品、银行代销的保险产品或国内开放式基金产品、住房按揭贷款等。如果您有兴趣体验我行上述“其他产品和服务”，请您选择开立卓越理财账户或运筹理财账户或个人综合银行账户（视情况而定）。请您理解并确认，卓越理财账户，运筹理财账户，个人综合银行账户与人民币基本结算账户不可同时开立或持有。我行对人民币基本结算账户提供账户月结单。

#### Account Information and Anticipated Activity 账户信息及预期交易活动

##### \*Source of Funds for Initial Deposit

Will you make an initial deposit to the account? 您是否会在开户当天有资金入账?  Yes  No

初始资金来源

If yes, please provide below information: 如果“是”，请提供以下信息

The origin of initial SoF初始资金来源(如工资、他行转入、还款等):

Name of remitter资金提供者名称(如汇款人名称):

Amount of initial deposit 初始存款额:

Country of Source of funds 资金来源国:

- Cash 现金  
Amount 金额 \_\_\_\_\_  
 more than or equal to CNY 50,000 or equivalent  
大于等于5万人民币或等值金额  
 less than CNY 50,000 or equivalent  
小于5万人民币或等值金额  
 Non-cash 非现金

#### Customer Declaration 客户声明

1 I confirm that the information given above is correct and complete, and authorize the Bank to confirm this from any source the Bank may choose. I understand that the account cannot be put into use until my account opening application and the required information and materials have been examined and considered by the Bank as complete and acceptable. I confirm that I will notify the Bank immediately in writing of any change to my information as stated above.

本人证实上述所提供的信息全属正确及完整，并授权银行可向任何方面查证。本人理解，仅在本人的开户申请和所需的信息和资料经银行审核认为完整及可接受后，账户方可启用。本人确认如本人的上述信息发生任何变更，本人将立即书面通知银行。

2 I have received, read and understood the General Terms and Conditions (For Personal Account Holders), the Personal Account General Terms and Conditions and the other terms and conditions that apply to the account(s) I hereby apply for opening (such as, where appropriate, Account of Deposit without Fixed Maturity Date (other than Call Deposit and Contract Savings Deposit) - General Terms and Conditions, Time Deposit-General Terms and Conditions, Call Deposit Account - General Terms and Conditions), and agree to be governed by them. In particular, I understand and agree:

本人已经收到、阅读及理解《一般章程条款(个人账户适用)》、个人账户一般条款以及其他适用于本人申请开立的账户的条款(诸如若适用，活期存款账户一般条款、定期存款一般条款和通知存款一般条款)，并同意接受该等条款的约束。就此而言，本人明白及同意：

(i) Such General Terms and Conditions cover and apply to a wide range of services and accounts provided by the Bank;  
该等一般条款涉及及使用于一系列由银行所提供的服务和账户；

(ii) I confirm that I have received, read, understood and accepted the Bank's standard Tariff of Accounts and Services.  
兹确认本人已收到、阅读、理解及接受银行账户和服务标准费率表。

The Tariff of Accounts and Services may be amended and/or supplemented by the Bank from time to time, upon notice to account holders by way of display in the Bank's premises, at the Bank's official website and/or through account statement.

银行可不时修改和/或补充账户和服务费率表，有关修改将以公告方式在银行营业场所张贴、在本行网站发布及/或通过账单的方式通知账户持有人。

3 I hereby confirm that I have read and clearly understood the risk disclosure statement contained in the Bank's General Terms and Conditions (For Personal Account Holders), and I am willing to bear the risks. I understand that the bank is covered by deposit insurance scheme under the State Council regulation, and both domestic and foreign currency deposits taken are protected in accordance with the prevailing Deposit Insurance Regulation of the People's Republic of China

本人特此确认已经阅读及清楚理解《一般章程条款（个人账户适用）》中的风险提示并愿意承担相关风险。本人知晓银行已按照国家规定参加存款保险，吸收的本外币存款依照《存款保护条例》受到保护。

4  I hereby confirm that I have noted that the Bank's Personal Information and Privacy Protection Policy (available at the Bank's website <https://www.hsbc.com.cn> "Privacy and Security") and Clause 2 of the General Terms and Conditions (For Personal Account Holders) introduces and provides for the scope and circumstances in which the Bank may need to provide customer information to other entities and/or persons. I understand and agree that the Bank may use, provide and disclose customer information or applicant information in accordance with Personal Information and Privacy Protection Policy and Clause 2 of the General Terms and Conditions (For Personal Account Holders), regardless of whether my account opening application will be accepted by the Bank (where my account opening application is not accepted by the Bank, such clause still applies to me, and the "customer information" mentioned thereunder shall refer to the applicant information). I understand and know the potential consequence of my giving such consent.

I understand and accept that HSBC has the right to collect, store, use, process, transmit or otherwise process my personal sensitive information including personally identifiable information and personal account information for the purpose of providing me the services listed in my application. Such personal sensitive information includes my name, gender, nationality, identification document number and validity, occupation, job title, phone number, address, email address, account type, issuing bank and other necessary information. I agree that in the course of opening my account, HSBC will verify my mobile phone number with Baihang Credit Information Co., Ltd. or other legally established telecommunications operators.

本人特此确认已经注意到贵行《个人信息及隐私保护政策》（详见官网<https://www.hsbc.com.cn>“保密及安全”）及《一般章程条款（个人账户适用）》第二条说明和约定了贵行可能需要向贵行以外的实体和/或人士提供客户信息的范围和具体情形。无论本人的开立账户申请是否为贵行接受，本人均知晓和同意贵行可以根据《个人信息及隐私保护政策》及《一般章程条款（个人账户适用）》第二条之约定使用、提供和披露客户信息或申请人信息，（在本人的开立账户申请不为贵行接受的情况下，该条款仍对本人适用，提及“客户信息”时应当是指申请人信息）。本人理解和知晓本人作出该等同意的可能后果。

此外，本人知悉并同意为向本人提供账户服务及本次申请列明的其他服务及相关服务之目的，贵行有权收集、存储、使用、加工、传输或以其他方式处理本人的个人敏感信息，包括个人身份信息及个人账户信息，如姓名、性别、国籍、身份证件种类号码及有效期、职业、单位、职位、电话号码、联系地址、电子邮箱、账户类别、开户银行等必要的个人信息。本人同意，为了实现开立账户的目的，贵行将向百行征信有限公司或合法设立的电信运营商核验本人的手机号码。

5 I, as the account holder, confirm that the above accounts are only for my own use, and that I am not holding or operating the accounts on behalf of any other person as trustee, nominee or otherwise. I fully understand and am clearly aware of relevant legal liabilities (including but not limited to civil liabilities, criminal liabilities etc.) and disciplinary measurements (including but not limited to suspending non-counter business under bank accounts, not opening new accounts and that PBOC will transfer the information of relevant individuals to the Financial Credit Information Basic Database and disclose the same to the public etc.) for renting, lending, selling or purchasing bank account, and undertake to open and use my account(s) in accordance with applicable laws and regulations.

本人，作为账户持有人，确认上述账户仅供本人使用，本人并未作为任何其他人的信托受托人、匿名代理人或代理人持有和使用上述账户。本人充分了解并清楚知晓出租、出借、出售、购买账户的相关法律责任（包括但不限于可能引发民事责任、刑事责任等）和惩戒措施（包括但不限于暂停银行账户非柜面业务、不得新开立账户、人民银行将个人信息移送金融信用信息基础数据库并向社会公布等），承诺依法依规开立和使用本人的账户。

6 I confirm that bank staff conducts account opening process for me at the Branch Premise.

(only applicable to the branches with optimized business model) I understand, the account opening bank has optimized its business model and no longer provides cash services and other counter services, and has issued relevant announcements. I have read the announcements and fully understand the specific service scope after optimization. I decide to open an account at this bank on the basis of sufficient reading and knowledge of this announcements.

本人确认银行人员在银行场所内为本人办理开户手续。

(仅适用已实行业务模式优化的分支行)本人知悉，开户行已实行业务模式优化并不再提供现金服务等柜面业务，且已发布相关公告。本人已阅读该公告并了解该开户行业务模式优化后精简了的具体服务范围，在充分阅读并清楚知晓的基础上在该开户行办理开户业务。

7  I confirm that the mobile phone number(s) maintained with the Bank is/are registered under my real name at the telecommunication service provider. I understand and agree that, if such mobile phone number(s) is/are not registered under my real name, without prejudice to any rights the Bank may have under any account, service and product related documents, the Bank is entitled to suspend the use of my HSBC Debit Card (if any) and related account(s) until the Bank receives the updated mobile phone number(s) registered under my real name.

本人确认在贵行预留的手机号码为本人于电信业务经营者处实名登记的号码。本人理解和同意，如此（等）手机号码非经本人实名登记，在不减损贵行在任何有关账户、服务和产品文件项下权利的前提下，贵行有权暂停本人对汇丰中国借记卡（如有）及相关账户的使用，直至贵行收到本人更新的实名登记的手机号码。

8 a. I understand and acknowledge that I can have the account transaction notification timely by active the e-banking in branch to apply the mobile alert service or bind the HSBC Customer Service Official Accounts in WeChat. I clearly understand that without mobile alert and WeChat binding, I cannot be notified by SMS or Wechat in time, but I can review the transaction information via monthly bank statement.

b. I understand and acknowledge that my bank statement cycle is "monthly", and it cannot be changed.

(一)本人理解并确认，本人可以通过分支行营业网点开通汇丰中国网上银行申请短信动账提示服务或者绑定汇丰中国客户服务微信公众号开通微信动账提示服务，以获取银行账户资金变动提示。本人清楚知晓，如不开通上述动账提示服务，则无法通过短信或微信及时获取账户资金变动提示，获悉账户资金交易情况可通过月结单查看。

(二)本人理解并确认，本人的银行结单周期为每月一次，该结单周期不可更改。

9 I understand that the Bank may need to collect and use my personal information for marketing, customer experience improvement, or market survey purposes, and send me marketing, customer experience improvement and market survey messages via phone calls, SMS, Mobile banking, WeChat and other means. The Bank believes that these banking offers, product services, customer experience improvements and market surveys may be of interest to and/or beneficial to me.

Agree  Don't agree (Please note that banking offers, product services, customer experience improvements and market surveys are provided according to your consent, and the bank will push relevant information to you based on your latest choice)

本人理解贵行可能需要为了营销、用户体验改进或市场调查的目的而收集和使用本人信息，并以电话、短信、手机银行、微信以及其他方式向本人发送营销、用户体验改进和市场调查信息。贵行相信这些优惠、产品服务、用户体验改进和市场调查可能是本人感兴趣的和/或对本人有益。

同意 不同意 (请注意, 这些优惠、产品服务、用户体验改进及市场调查是基于您的同意为您提供的相关服务, 我们将以您的最新选择为准)

10 I understand and acknowledge that I am solely responsible for understanding and fulfilling any obligation that I may have with respect to the filing of returns or other required documentation in respect of and the payment of all relevant taxes, including, without limitation, all income, capital gains, wealth and estate taxes. The creation and continued operation of my account and/or the acquisition, holding or disposal of investments or assets in such account, as well as any income, distributions or losses realized in relation to the operation of the account may expose me to tax consequences depending on a number of factors including, but not limited to, my applicable domicile, my place of residence, my citizenship or the type of assets I hold in my account. Certain countries or regions may have tax legislation with extra-territorial effect regardless of my place of domicile, residence or citizenship. The Bank does not provide any legal or tax advice and I should seek legal and/or tax advice from an independent legal and/or tax adviser. I acknowledge and agree that the Bank has no liability in respect of any of my tax obligations and/or any legal and/or tax advice provided to me by third parties. I further understand and acknowledge that where a referral is made to an external organisation for specific tax advice, the contract for such tax advice is between myself and the third party, and the Bank has no responsibility or liability for any advice provided by such third party.

本人理解并确认, 完全由本人负责理解和遵守本人负有的就所有相关税费(包括但不限于所有所得税、资本利得税、财产税和遗产税)提交纳税申报文件或其他所需文件以及缴纳所有相关税费的义务。本人账户的开立和后续操作及/或此类账户中的投资或资产的取得、持有或处置, 以及账户操作所实现的任何收益、分配或发生的损失可能视一系列因素(包括但不限于本人适用的住所地、居所地、国籍或本人账户中所持有资产的类型)而使本人面临税务方面的后果。某些国家或地区可能会制定有域外效力的税务立法(而无论本人的住所地、居所地或国籍)。银行不提供法律或税务方面的意见和建议, 本人应该从独立的法律顾问及/或税务顾问处寻求法律意见和建议及/或税务意见和建议。本人确认并同意, 银行对于本人的税务责任及/或第三方向本人提供的任何法律意见和建议及/或税务意见和建议不承担任何责任。本人进一步理解并确认, 如果银行向本人介绍提供某项税务意见和建议的外部机构, 相关的税务咨询合同系由本人和该第三方订立, 银行对于该第三方提供的意见和建议不承担任何责任。

11 I understand and acknowledge that the Bank reserves the right to request documents or other evidence from me that demonstrates my compliance with my tax obligations or that enables the Bank to meet its own compliance and tax reporting obligations. Without prejudice to the generality of the account closure right or termination right reserved by the Bank in the General Terms and Conditions (For Personal Account Holders), if I fail to provide such information within the timeframe stipulated by the Bank or if the information provided by me is considered unsatisfactory to the Bank, the Bank reserves the right to terminate its business relationship with me with immediate effect, including without limitation closure of my account(s) maintained with the Bank.

本人理解和确认, 银行有权要求本人提供相关的文件或证据, 以证明本人遵守了本人所负的税务方面的义务或者使银行能够履行银行所负的合规和税务申报义务。在不影响银行在《一般章程条款(个人账户适用)》中保留的关户权或终止权的前提下, 如果本人未能在银行要求的时限内提供该等资料或者本人所提供的资料不能令银行满意, 银行有权立即终止与本人的业务关系, 包括但不限于关闭本人在银行开立的账户。

12 I hereby understand that I should sign my own name on this form and further confirm my signature on this form will be deemed as my specimen signature maintained with the Bank.

本人理解本人应当在本文件上签署本人的姓名并进一步确认本人在本文件上的签字将被视为本人留存在贵行的签字样本。

Note:

1. If the provision of services by HSBC falls short of your expectations, you can contact our 24-hour service hotline 95366 for complaint and feedback. You can also find other complain channels on [www.hsbc.com.cn](http://www.hsbc.com.cn) HSBC China official website.

2. Please note that your signature below will be deemed as your specimen signature to be used in the operation of your account(s), please therefore properly sign below.

注:

1.如汇丰向您提供的服务未能符合您的期望, 您可联系本行24小时服务热线95366进行投诉和建议。其他投诉渠道信息, 您可登录汇丰中国官方网站[www.hsbc.com.cn](http://www.hsbc.com.cn)查询。

2.请注意您的如下签字将被作为您的签字样本用于您的账户操作, 因此请适当签署。

Customer Signature and/or Chop  
客户签名或盖章

Date  
日期(DD/MM/YY) \_\_\_\_\_

Branch code

代理人    有    无

是否农户    是    否

**开户文件检查**

1. 客户本人办理开户及亲签，完成客户身份识别。对持有中国身份证的客户已完成身份信息联网核查、二代身份证鉴别；
2. 已录入开户文件、客户证明文件、客户筛查结果和系统信息；
3. 已与异地开户的客户确认开户理由。

**Prepared by**

Staff ID

Staff Name

**Authorized by**

Signature

Staff ID