

**CHECKLIST OF DOCUMENTATION FOR OPENING
CORRESPONDENT BANKING ACCOUNT**

开立代理银行账户的文件清单

For the reference of customers to open accounts with HSBC Bank (China) Company Limited

供于汇丰银行(中国)有限公司开立账户的客户参考

1. Incorporation Certificate and Registration Documents issued by competent authority
在其本国或本地区的登记注册文件及其国家/地区监管部门批准其成立的证明

Chinese translation of such document should it be in another language (if necessary for regulatory approval/ filing purpose)
若此文件为非中文的文本，还须提供相应的中文翻译（视法规批准/备案需要）
2. Enterprise Code Certificate issued by the State Bureau for Technology Supervision (only for Financial Institution registered in Mainland China)
国家技术监督局颁发的企业组织机构代码证（只适用于注册在中国大陆地区的金融机构）
3. State and Local Tax Registration Certificate (only for Financial Institution registered in Mainland China)
国税及地税税务登记证（只适用于注册在中国大陆地区的金融机构）
4. Identification Documents of legal representative (if any) and authorized signatory(ies)
法定代表人(若有)及指定授权签字人的有效身份证件
5. Board Resolution or Service agreement (if necessary for service purpose or regulatory requirement)
董事会决议或代理服务协议（视代理服务需要或法规要求而定）
6. Specimen signatures and chops (if any) (original)
预留印鉴（若有）（原件）
7. Information on the business background, management, nature of services offered, state of registry, anti-money laundering and counter-terrorist financing policy and purpose of account/banking relationship
银行的背景、管理层、主要业务、所在地、反洗钱及反恐融资的措施和业务/账户用途等信息

Note

请注意：

- Please note that while the above documentary requirements are applicable in general, under certain circumstances, the Bank may request additional and/or different documents to satisfy regulatory and/or due diligence requirements.
请注意以上所列文件在一般情况下适用，在具体处理各开户申请时，本行可能提出额外和/或不同的文件要求以满足法律法规和/或尽职调查要求。
- Where update of the subsequent changes to the above information and/or relevant document

proof have not been provided within a reasonable period without a reasonable reason, the Bank may suspend the conduct of business for the account holder.

若账户持有人没有在合理期限内提交上述信息的更改和/或相应证明文件且没有提出合理理由，我行可能中止对帐户持有人的服务。